# CV: PAUL R. ROSENBAUM

Position	Robert G. Putzel Professor Emeritus Department of Statistics and Data Science The Wharton School of the University of Pennsylvania
Education	BA, Statistics, 1977, Hampshire College AM, Statistics, 1978, Harvard University PhD, Statistics, 1980, Harvard University
Employment	<ul> <li>2021-Present, Robert G. Putzel Professor Emeritus, Department of Statistics and Data Science, The Wharton School</li> <li>2001-2021, Robert G. Putzel Professor Department of Statistics, The Wharton School</li> <li>2008-2021, Senior Fellow, Leonard Davis Institute of Health Economics, University of Pennsylvania</li> <li>2016, Sabbatical, Nelder Fellow, Imperial College, London</li> <li>2000-2001, Sabbatical, Fellow, Center for Advanced Study in the Behavioral Sciences, Stanford, California</li> <li>1990-2001, Professor of Statistics, Department of Statistics, The Wharton School of the University of Pennsylvania</li> <li>1986-1990, Joseph Wharton Term Associate Professor of Statistics, Wharton School of the University of Pennsylvania.</li> <li>1983-1986 Research Scientist/Senior Research Scientist, Research Statistics Group, Educational Testing Service, Princeton, NJ.</li> <li>1981-1983 Assistant Professor of Statistics and Human Oncology, University of Wisconsin at Madison, Wisconsin.</li> <li>1980-1981 Statistician, Office of Radiation Programs, U. S. Environmental Protection Agency, Arlington, Virginia.</li> </ul>
Honors	IMS Medallion Lecture, 2020 R.A. Fisher Award and Lecture from COPSS, 2019 Long-Term Excellence Award from the Health Policy Statistics Section of the American Statistical Association, 2018 Nathan Mantel Award from the Section on Statistics in Epidemiology of the American Statistical Association, 2017 Nelder Lecture, Imperial College, 2016 George W. Snedecor Award from COPSS, 2003 Fellow of the American Statistical Association, Elected 1992

## PUBLICATIONS

## BOOKS

*Observational Studies.* Springer Series in Statistics, New York, Springer. First edition 1995, second edition 2002.

*Design of Observational Studies*. Springer Series in Statistics, New York, Springer. First edition, 2010. Second edition 2020. Chinese translation of the first edition published by Higher Education Press in 2016.

*Observation and Experiment: An Introduction to Causal Inference*. Cambridge, MA: Harvard University Press, 2017. Paperback edition, 2019. Japanese translation by Manabu Iwasaki and T. Abe, 2021, Kyoritsu Shuppan Co. Ltd.

Replication and Evidence Factors in Observational Studies. Chapman and Hall/CRC, 2021.

Causal Inference. (MIT Press Essential Knowledge Series). MIT Press, 2023.

# EDITED VOLUME

*Handbook of Matching and Weighting Adjustments for Causal Inference.* Edited by Jose R. Zubizarreta, Elizabeth A. Stuart, Dylan S. Small and Paul R. Rosenbaum. Chapman and Hall/CRC, 2023.

## ARTICLES

# 1981

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## 1983

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1984

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- Difficulties with regression analyses of age-adjusted rates. (With D.B. Rubin) Biometrics, 1984, 40, 437-443.
- Cisplatin, doxorubicin, cyclophosphamide, lomustine, vincristine (PACCO) in the treatment of nonsmall cell bronchogenic carcinoma. (With R. Whitehead and P. Carbone) Cancer Treatment Reports, 1984, 68, 771-773.
- Sensitivity of Bayes inference with data-dependent stopping rules. (With D.B. Rubin) American Statistician, 1984, 38, 106-109.
- Aggressive combined modality therapy for advanced local-regional breast carcinoma. (With C. Loprinzi, P. Carbone, D. Tormey, W. Caldwell, J. Kline, R. Steeves, and G. Ramirez) Journal of Clinical Oncology, 2, 157-163.
- Testing the conditional independence and monotonicity assumptions of item response theory. Psychometrika, 1984, 49, 425-435.

## 1985

The bias due to incomplete matching. (With D.B. Rubin) Biometrics, 1985, 41,106-116.

- Constructing a control group using multivariate matched sampling methods that incorporate the propensity score. (With D.B. Rubin) American Statistician, 1985, 39, 33-38.
- Comparing distributions of item responses for two groups. British Journal of Mathematical and Statistical Psychology, 1985, 38, 206-215.
- Discussion of "On State Education Statistics": A difficulty with regression analyses of regional test score averages. (With D.B. Rubin) Journal of Educational Statistics, 1985, 10, 326-333.

## 1986

Conditional association and unidimensionality in monotone latent variable models. (With P.W. Holland) Annals of Statistics, 14, 1523-1543.

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## 1987

- Sensitivity analysis for certain permutation tests in matched observational studies. Biometrika, 1987, 74,13-26.
- Smoothing the joint and marginal distributions of scored two-way contingency tables in test equating. (With D. Thayer) British Journal of Mathematical and Statistical Psychology, 1987, 40, 43-49.

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- Model-based direct adjustment. Journal of the American Statistical Association, 1987, 82, 387394.
- Probability inequalities for latent scales. British Journal of Mathematical and Statistical Psychology, 1987, 40, 157-168.
- Review of Statistical Methods for Meta-Analysis by L. Hedges and I. Olkin. Journal of the American Statistical Association, 1987, 82, 350-351.
- The role of a second control group in an observational study (with Discussion). Statistical Science, 1987, 2, 292-316.
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## 1988

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#### 1989

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## 1990

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# 1991

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Some poset statistics. Annals of Statistics, 19, 1091-1097.

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## 1992

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## 1993

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### 1994

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- Comparing the contributions of groups of predictors: Which outcomes vary with hospital rather than patient characteristics? (With J. Silber and R. Ross) Journal of the American Statistical Association, 1995, 90, 7-18.
- Letter: Measuring the quality of hospital care (With J. Silber). Journal of the American Medical Association, 273, 4 January 1995, 21.
- Quantiles in nonrandom samples and observational studies. Journal of the American Statistical Association, 1995, 90, 1424-1431.
- Evaluation of the complication rate as a measure of quality of care in coronary artery bypass graft surgery (With J. Silber, S. Schwartz, R. Ross, and S. Williams). Journal of the American Medical Association, 1995, 274, 317-323. Related letter, 1995, 274, 1674-1675.

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#### 1996

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## 1998

- Dual and simultaneous sensitivity analysis for matched pairs. (With Joe Gastwirth and Abba Krieger) Biometrika, 85, 907-920.
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#### 1999

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# 2000

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Asymptotic separability in sensitivity analysis (with Joseph Gastwirth and Abba Krieger). Journal of the Royal Statistical Society, Series B (Methodological), 62, 545-555.

# 2001

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## 2003

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# 2004

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- Optimal matching before randomization (with Robert Greevy, Bo Lu, and Jeffrey Silber). Biostatistics, 2004; 5: 263-275.
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- Equivalent lengths of stay of pediatric patients hospitalized in rural and nonrural hospitals (with Scott Lorch, Xuemei Zhang, Orit Evan-Shoshan, and Jeffrey Silber). Pediatrics, 2004, 114, e400-e408.

#### 2005

- Robust, accurate confidence intervals with a weak instrument: Quarter of birth and education (with Guido Imbens). Journal of the Royal Statistical Society, A, 2005, 168, 109-126.
- Exact, nonparametric inference when doses are measured with random errors. Journal of the American Statistical Association, 2005, 100, 511-518.
- Attributable effects in case<sup>2</sup> studies. Biometrics, 2005, 61, 246-253.
- Reasons for effects. Chance, 2005, 18, 5-10.
- Heterogeneity and causality: Unit heterogeneity and design sensitivity in observational studies. American Statistician, 2005, 59, 147-152.
- Changes in prognosis after the first postoperative complication (with Jeffrey Silber, Martha Trudeau, Wei Chen, Xuemei Zhang, Rachel Rapaport Kelz, Rachel Mosher, Orit EvenShoshan). Medical Care, 2005, 43, 122-131.
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- Sensitivity analysis in observational studies. In: Encyclopedia of Statistics in Behavioral Science, 2005, eds., B. S. Everitt and D. C. Howell, New York: John Wiley and Sons, pp. 1809-1814.
- Preoperative antibiotics and mortality in the elderly (with with Jeffrey Silber, Martha Trudeau, Wei Chen, Xuemei Zhang, Scott Lorch, Rachel Rapaport Kelz, Rachel Mosher, Orit EvenShoshan) Annals of Surgery, 2005, 242, 107-114.
- An exact, distribution free test comparing two multivariate distributions based on adjacency. Journal of the Royal Statistical Society, B, 2005, 67, 515-530.
- Comment (with Alan Salzberg) on: "Local model uncertainty and incomplete-data bias" by J. Copas and S. Eguchi Journal of the Royal Statistical Society, Series B, 2005, 67, 507-508.

#### 2006

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- R-estimates vs GMM: A theoretical case study of validity and efficiency (with Dylan Small, Joe Gastwirth, and Abba Krieger). Statistical Science, 2006, 21, 363-375.

- Minimum distance matched sampling with fine balance in an observational study of treatment for ovarian cancer (with Richard N. Ross and Jeffrey H. Silber). Journal of the American Statistical Association, 2007, 102, 75-83.
- Interference between units in randomized experiments. Journal of the American Statistical Association, 2007, 102, 191-200.
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- Confidence intervals for uncommon but dramatic responses to treatment. Biometrics, 2007, 63, 1164–1171.
- Combining propensity score matching and group-based trajectory analysis in an observational study (with A. Haviland and D. S. Nagin). Psychological Methods, 2007, 12, 247–267.
- Propensity score. Encyclopedia of Clinical Trials, eds., R. D'Agostino, L. Sullivan, J. Massaro, New York: John Wiley, 2007.
- Does ovarian cancer treatment and survival differ by the specialty providing chemotherapy? (With Jeffrey H. Silber, Daniel Polsky, Richard N Ross, Orit Even-Shoshan, Sandy Schwartz, Katrina A. Armstrong, Thomas C. Randall). Journal of Clinical Oncology, 2007, 25, 11691175.
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- Mortality among hospitalized Medicare beneficiaries in the first 2 years following ACGME resident duty hour reform (with Kevin G. Volpp, Amy K. Rosen, Patrick S. Romano, Orit EvenShoshan, Yanli Wang, Lisa Bellini, Tiffany Behringer, and Jeffrey H. Silber) Journal of the American Medical Association, 2007, 298, 975-983.
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## 2008

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- An algorithm for optimal tapered matching, with application to disparities in survival (with S. Daniel, K. Armstrong, J. H. Silber), Journal of Computational and Graphical Statistics, 2008, 17, 914-924.

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- Hospital teaching intensity, patient race, and surgical outcomes (with Jeffrey H. Silber, Amy Rosen; Orit Even-Shoshan, Yun Teng, Yanli Wang, Michael Halenar, Kevin Volpp and Patrick Romano). Archives of Surgery, 2009, 144, 113-120.
- Simultaneous sensitivity analysis for observational studies using full matching or matching with multiple controls (with Dylan Small, Joseph Gastwirth, and Abba Krieger), Statistics and its Interfaces, 2009, 2, 203-212 (Special issue in honor of Joseph Gastwirth).
- Additional maturity at discharge and subsequent health care costs (with J. H. Silber, S. L. Lorch, B. Medoff-Cooper, S. Bakewell-Sachs, A. Millman, L. Mi, O. Even-Shoshan, G. E. Escobar)
  Health Services Research, 2009, 44, 444-463.
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- Therapeutic effect of sentinel lymph node biopsy in melanoma remains an open question (with Phyllis Gimotty, Frank Yoon, Rachel Hammond, and DuPont Guerry). Journal of Clinical Oncology, 2009, 27, 4236-4238.
- Prolonged hospital stay and the resident duty hours rules of 2003 (with J.H. Silber, A.K. Rosen, P.S. Romano, K.M.F. Itani, L. Cen, L. Mi, M.J. Halenar, O. Even-Shoshan, and K.G. Volpp.) Medical Care, 2009;47:1191-1200.
- Amplification of sensitivity analysis in observational studies (with Jeffrey Silber). Journal of the American Statistical Association, 2009;104:1398-1405.

# 2010

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- The Hospital Compare mortality model and the volume-outcomes relationship (with J. H. Silber, T. J. Brachet, R. N. Ross, L. J. Bressler, O. Even-Shoshan, S. A. Lorch, and K. G. Volpp). Health Services Research, 2010, 45, 1148-1167.
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- Some approximate evidence factors in observational studies. Journal of the American Statistical Association, 2011, 106, 285-295.
- Using split samples and evidence factors in an observational study of neonatal outcomes (with Kai Zhang, Dylan S. Small, Scott Lorch, and Sindhu Srinivas), Journal of the American Statistical Association, 2011, 106, 511-524.
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- Matching for several sparse nominal variables in a case-control study of readmission following surgery (with José R. Zubizarreta, Caroline Reinke, Rachel R. Kelz, and Jeffrey H. Silber). American Statistician, 2011, 65, 229-238.

#### 2012

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- Optimal matching with minimal deviation from fine balance in a study of obesity and surgical outcomes (with Dan Yang, Dylan S. Small and Jeffrey H. Silber). Biometrics, 2012, 68, 628636.
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- Nonreactive and purely reactive doses in observational studies. In: Causality: Statistical Perspectives and Applications, eds., C. Berzuini, P. Dawid, L. Bernardinelli. New York: John Wiley, chapter 19, pp. 273-289.
- Medical and financial risks associated with surgery in the elderly obese (with Jeffrey H. Silber, Rachel R. Kelz, Caroline E. Reinke, Mark D. Neuman, Richard N. Ross, Orit Even-Shoshan, Guy David, Philip A. Saynisch, Fabienne A. Kyle, Dale W. Bratzler, Lee A. Fleisher). Annals of Surgery, 2012, 256, 79-86.

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- Lee A. Fleisher) Medical Care, 2023;61:328-337. Defining multimorbidity in older patients hospitalized with medical conditions (with Siddharth Jain, Joseph G. Reiter, Alexander S. Hill, Omar Ramadan, Sean Hashemi, Rebecca Brown, Rachel Kelz, Lee A. Fleisher, Jeffrey H. Silber). Journal of General Internal Medicine, 2023;38:1449-1458.
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- Impact of hospital affiliation with a flagship hospital system on surgical outcomes (with Omar I. Ramadan, Joseph G. Reiter, Siddharth Jain, Alexander S. Hill, Sean Hashemi, Rachel R. Kelz, Lee A. Fleisher, Jeffrey H. Silber). Annals of Surgery, 2024;279(4):631-639
- Assessing the ambulatory surgery center volume-outcome relationship: A matched case-control study (with Siddharth Jain, Joseph G. Reiter, Omar I. Ramadan, Alexander S. Hill, Jeffrey H. Silber, Lee A. Fleisher). JAMA-Surgery, to appear.

# **R** Packages

DOS, DOS2, evident, informedSen, sensitivitymult, sensitivity2x2xk, senstrat, exteriorMatch, sensitivitymv, sensitivityfull, submax, testwice, dstat, dstat2x2xk, tailTransform, weightedRank.

# WORK FOR THE NATIONAL ACADEMY OF SCIENCES

Member, Committee on National Statistics of the Commission on Behavioral and Social Sciences and Education of the National Research Council of the National Academy of Sciences, 1996-1999.

Member of the Committee on Data and Research for Policy on Illegal Drugs, Commission on Behavioral And Social Sciences and Education, the National Research Council of the National Academy of Sciences, 1998-2000. The panel published two reports, *Assessment of Two Cost Effectiveness Studies on Cocaine Control Policy*, National Academy Press, Washington, DC, 1999, and *Informing America's Policy on Illegal Drugs*, National Academy Press, Washington, DC, 2001.

Member of the Panel to Review Evaluation Studies of Bilingual Education, Committee on National Statistics, U.S. National Academy of Sciences, 1991. The panel published a report, *Assessing Evaluation Studies: The Case of Bilingual Education*, National Academy Press, Washington, DC, 1992.

## WORK FOR THE NATIONAL SCIENCE FOUNDATION

Advisory Board of the Methodology, Measurement and Statistics Program of the National Science Foundation, 1999-2001.

# **ASSOCIATE EDITOR**

Associate Editor, Biostatistics, 1999-2001. Associate Editor, Journal of the American Statistical Association, 2006-2010. Associate Editor, Statistical Science, 2009-2010.