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- Education:** BA, Statistics, 1977, Hampshire College
AM, Statistics, 1978, Harvard University
PhD, Statistics, 1980, Harvard University
- Employment:** 2001-present, Robert G. Putzel Professor
Department of Statistics, The Wharton School
- 2008-present, Senior Fellow, Leonard Davis Institute of
Health Economics, University of Pennsylvania
- 2016, Sabbatical, Visiting Nelder Fellow in the Statistics Section of
the Department of Mathematics at Imperial College, London
- 2000-2001 Sabbatical, Fellow, Center for Advanced
Study in the Behavioral Sciences, Stanford, California
- 1990-2001, Professor of Statistics
The Wharton School of the University of Pennsylvania
- 1986-1990 Joseph Wharton Term Associate Professor of Statistics,
Wharton School of the University of Pennsylvania.
- 1983-1986 At first, Research Scientist, later Senior Research
Scientist, Research Statistics Group, Educational Testing Service,
Princeton, New Jersey.
- 1981-1983 Assistant Professor of Statistics and Human
Oncology, University of Wisconsin at Madison, Wisconsin.
- 1980-1981 Statistician, Office of Radiation Programs,
U.S. Environmental Protection Agency, Arlington, Virginia.
- Professional Associations:** American Statistical Association, Biometric Society (ENAR)
Institute of Mathematical Statistics
- Honors** Fellow of the American Statistical Association, Elected 1992
George W. Snedecor Award from COPSS, 2003
Nelder Lecture, Imperial College, 2016
C. G. Khatri Lecture, Penn State University, 2017

PUBLICATIONS

BOOKS

Observational Studies. Springer Series in Statistics, New York, Springer. First edition 1995, second edition 2002.

Design of Observational Studies. Springer Series in Statistics, New York, Springer, 2010.

Observation and Experiment: An Introduction to Causal Inference. Harvard University Press, to appear in 2017.

ARTICLES

1981

Prognostic importance of anginal symptoms in angiographically defined coronary artery disease. (With P. Cohn, P. Harris, W. Barry, R. Rosati, and C. Waternaux) *American Journal of Cardiology*, 1981, 47, 233-237.

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1983

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1984

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Testing the conditional independence and monotonicity assumptions of item response theory. *Psychometrika*, 1984, 49, 425-435.

1985

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Constructing a control group using multivariate matched sampling methods that incorporate the propensity score. (With D.B. Rubin) *American Statistician*, 1985, 39, 33-38.
Comparing distributions of item responses for two groups. *British Journal of Mathematical and Statistical Psychology*, 1985, 38, 206-215.
Discussion of "On State Education Statistics": A difficulty with regression analyses of regional test score averages. (With D.B. Rubin) *Journal of Educational Statistics*, 1985, 10, 326-333.

1986

Conditional association and unidimensionality in monotone latent variable models. (With P.W. Holland) *Annals of Statistics*, 14, 1523-1543.
Dropping out of high school in the United States: An observational study. *Journal of Educational Statistics*, 1986, 11, 207-224.

1987

Sensitivity analysis for certain permutation tests in matched observational studies. *Biometrika*, 1987, 74, 13-26.
Smoothing the joint and marginal distributions of scored two-way contingency tables in test equating. (With D. Thayer) *British Journal of Mathematical and Statistical Psychology*, 1987, 40, 43-49.
Comparing item characteristic curves. *Psychometrika*, 1987, 52, 217-233.
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1988

Sensitivity analysis for matching with multiple controls. *Biometrika*, 1988, 75, 577-581.
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1989

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On permutation tests for hidden biases in observational studies: An application of Holley's inequality to the Savage lattice. *Annals of Statistics*, 17, 643-653.
Optimal matching for observational studies. *Journal of the American Statistical Association*, 85, 1024-1032.
The role of known effects in observational studies. *Biometrics*, 45, 557-569.

1990

Sensitivity analysis for two-sample permutation inferences in observational studies. (With Abba Krieger) *Journal of the American Statistical Association*, 85, 493-498.

1991

A characterization of optimal designs for observational studies. *Journal of the Royal Statistical Society, Series B*, 53, 597-610.

Some poset statistics. *Annals of Statistics*, 19, 1091-1097.

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1992

Detecting bias with confidence in observational studies. *Biometrika*, 79, 367-374.

1993

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1994

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1995

Comparing the contributions of groups of predictors: Which outcomes vary with hospital rather than patient characteristics? (With J. Silber and R. Ross) *Journal of the American Statistical Association*, 1995, 90, 7-18.

Letter: Measuring the quality of hospital care (With J. Silber). *Journal of the American Medical Association*, 273, 4 January 1995, 21.

Quantiles in nonrandom samples and observational studies. *Journal of the American Statistical Association*, 1995, 90, 1424-1431.

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1996

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1997

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1998

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Cornfield's Inequality (With Joe Gastwirth and Abba Krieger). In: *Encyclopedia of Biostatistics*, Volume 1, eds., P. Armitage and T. Colton, New York: John Wiley, 1998, pp952-955.

1999

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Propensity scores (with M. Joffe). *American Journal of Epidemiology*, 15 August 1999, 150, 327-333.
Quantile plots, partial orders and financial risk (with J. Kuczmariski). *American Statistician*, 53, 239-246.
Reduced sensitivity to hidden bias at upper quantiles in observational studies with diluted treatment effects. *Biometrics*, 55, 560-564.
Holley's inequality. *Encyclopedia of Statistical Sciences*, Update Volume 3, eds., S. Kotz, C. B. Read, D. L. Banks, New York: John Wiley, 1999, pp328-331.

2000

Substantial gains in bias reduction from matching with a variable number of controls (with Kewei Ming). *Biometrics*, 56, 118-124.
Asymptotic separability in sensitivity analysis (with Joseph Gastwirth and Abba Krieger). *Journal of the Royal Statistical Society, Series B (Methodological)*, 62, 545-555.

2001

Stability in the absence of treatment. *Journal of the American Statistical Association*, 2001, 96, 210-219.

Effects attributable to treatment: Inference in experiments and observational studies with a discrete pivot. *Biometrika*, 2001, 88, 219-231. (Received the 2003 Snedecor Award)

A note on optimal matching with variable controls using the assignment algorithm (with Kewei Ming). *Journal of Computational and Graphical Statistics*, 10, 2001, 455-463.

Matching and thick description in an observational study of mortality after surgery (with Jeff Silber), *Biostatistics*, 2, 2001, 217-232.

Replicating effects and biases. *American Statistician*, 2001, 55, 223-227.

Balanced risk set matching (with Y. P. Li and K. J. Propert). *Journal of the American Statistical Association*, 2001, 96, 870-882.

Multivariate matching and bias reduction in the surgical outcomes study (with J. H. Silber, M. E. Trudeau, O. Even-Shoshan, W. Chen, X. Zhang, and R. E. Mosher). *Medical Care*, 2001, 39, 1048-1064.

Matching with doses in an observational study of a media campaign against drug abuse (with Bo Lu, Elaine Zanutto, and Robert Hornik), *Journal of the American Statistical Association*, 2001, 96, 1245-1253.

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2002

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Comment on: "Chain graph models and their causal interpretation," by S. Lauritzen and T. Richardson. *Journal of the Royal Statistical Society, Series B*, 2002;64:356-357.

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Covariance adjustment in randomized experiments and observational studies (with Discussion). *Statistical Science*, 2002;17:286-327.

2003

Does a dose-response relationship reduce sensitivity to hidden bias? *Biostatistics*, 2003;4:1-10. (This article was presented in a "Spotlight" piece by Jonathan Sterne in the *International Journal of Epidemiology*, 2003;32:1129.)

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2004

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Optimal matching with two control groups (with Bo Lu). *Journal of Computational and Graphical Statistics*, 2004; 13: 422-434.

Matching in observational studies. In: *Applied Bayesian Modeling and Causal Inference from Incomplete-Data Perspectives*, eds. A. Gelman and X. L. Meng, 2004, New York: John Wiley, pp. 15-24.

Equivalent lengths of stay of pediatric patients hospitalized in rural and nonrural hospitals (with Scott Lorch, Xuemei Zhang, Orit Evan-Shoshan, and Jeffrey Silber). *Pediatrics*, 2004, 114, e400-e408.

2005

Robust, accurate confidence intervals with a weak instrument: Quarter of birth and education (with Guido Imbens). *Journal of the Royal Statistical Society, A*, 2005, 168, 109-126.

Exact, nonparametric inference when doses are measured with random errors. *Journal of the American Statistical Association*, 2005, 100, 511-518.

Attributable effects in case² studies. *Biometrics*, 2005, 61, 246-253.

Reasons for effects. *Chance*, 2005, 18, 5-10.

Heterogeneity and causality: Unit heterogeneity and design sensitivity in observational studies. *American Statistician*, 2005, 59, 147-152.

Changes in prognosis after the first postoperative complication (with Jeffrey Silber, Martha Trudeau, Wei Chen, Xuemei Zhang, Rachel Rapaport Kelz, Rachel Mosher, Orit Even-Shoshan). *Medical Care*, 2005, 43, 122-131.

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Preoperative antibiotics and mortality in the elderly (with Jeffrey Silber, Martha Trudeau, Wei Chen, Xuemei Zhang, Scott Lorch, Rachel Rapaport Kelz, Rachel Mosher, Orit Even-Shoshan) *Annals of Surgery*, 2005, 242, 107-114.

An exact, distribution free test comparing two multivariate distributions based on adjacency. *Journal of the Royal Statistical Society, B*, 2005, 67, 515-530.

Comment (with Alan Salzberg) on: "Local model uncertainty and incomplete-data bias" by J. Copas and S. Eguchi *Journal of the Royal Statistical Society, Series B*, 2005, 67, 507-508.

2006

Differential effects and generic biases in observational studies. *Biometrika*, 2006, 93, 573-586.

R-estimates vs GMM: A theoretical case study of validity and efficiency (with Dylan Small, Joe Gastwirth, and Abba Krieger). *Statistical Science*, 2006, 21, 363-375.

Comment on a paper by Donald B. Rubin: The place of death in the quality of life. *Statistical Science*, 2006, 21, 313-316.

Variation in chemotherapy utilization in ovarian cancer: The relative contribution of geography (with Daniel Polsky, Katrina A. Armstrong, Thomas C. Randall, Richard N. Ross, Orit Even-Shoshan, Jeffrey H. Silber) *Health Services Research*, 2006, 41, 2201-2218.

2007

Minimum distance matched sampling with fine balance in an observational study of treatment for ovarian cancer (with Richard N. Ross and Jeffrey H. Silber). *Journal of the American Statistical Association*, 2007, 102, 75-83.

Interference between units in randomized experiments. *Journal of the American Statistical Association*, 2007, 102, 191-200.

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Combining propensity score matching and group-based trajectory analysis in an observational study (with A. Haviland and D. S. Nagin). *Psychological Methods*, 2007, 12, 247-267.

- Propensity score. *Encyclopedia of Clinical Trials*, eds., R. D'Agostino, L. Sullivan, J. Massaro, New York: John Wiley, 2007.
- Does ovarian cancer treatment and survival differ by the specialty providing chemotherapy? (With Jeffrey H. Silber, Daniel Polsky, Richard N Ross, Orit Even-Shoshan, Sandy Schwartz, Katrina A. Armstrong, Thomas C. Randall). *Journal of Clinical Oncology*, 2007, 25, 1169-1175.
- Estimating anesthesia and surgical time from medicare anesthesia claims. (With Jeffrey H. Silber, Xuemei Zhang, Orit Even-Shoshan.) *Anesthesiology*, 2007, 106, 346-355.
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2008

- Testing hypotheses in order. *Biometrika*, 2008, 95, 248-252.
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- War and wages: the strength of instrumental variables and their sensitivity to unobserved biases (with D. Small), *Journal of the American Statistical Association*, 2008, 103, 924-933.
- An algorithm for optimal tapered matching, with application to disparities in survival (with S. Daniel, K. Armstrong, J. H. Silber), *Journal of Computational and Graphical Statistics*, 2008, 17, 914-924.

2009

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- Spit samples and design sensitivity in observational studies (with Ruth Heller and Dylan Small). *Journal of the American Statistical Association*, 2009, 104, 1090-1101.
- Hospital teaching intensity, patient race, and surgical outcomes (with Jeffrey H. Silber, Amy Rosen; Orit Even-Shoshan, Yun Teng, Yanli Wang, Michael Halenar, Kevin Volpp and Patrick Romano). *Archives of Surgery*, 2009, 144, 113-120.
- Simultaneous sensitivity analysis for observational studies using full matching or matching with multiple controls (with Dylan Small, Joseph Gastwirth, and Abba Krieger), *Statistics and its Interfaces*, 2009, 2, 203-212 (Special issue in honor of Joseph Gastwirth).
- Additional maturity at discharge and subsequent health care costs (with J. H. Silber, S. L. Lorch, B. Medoff-Cooper, S. Bakewell-Sachs, A. Millman, L. Mi, O. Even-Shoshan, G. E. Escobar) *Health Services Research*, 2009, 44, 444-463.
- Error-free milestones in error prone measurements (with Dylan Small). *Annals of Applied Statistics*, 2009, 3, 881-901.

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2010

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2011

Some approximate evidence factors in observational studies. *Journal of the American Statistical Association*, 2011, 106, 285-295.

Using split samples and evidence factors in an observational study of neonatal outcomes (with Kai Zhang, Dylan S. Small, Scott Lorch, and Sindhu Srinivas), *Journal of the American Statistical Association*, 2011, 106, 511-524.

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2012

Testing one hypothesis twice in observational studies. *Biometrika*, 2012, 99, 763-774.

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- Inference with interference between units in an fMRI experiment of motor inhibition (with Xi Luo, Dylan S. Small, Chiang-shan R. Li), *Journal of the American Statistical Association*, 2012, 107, 530-541.
- Optimal matching with minimal deviation from fine balance in a study of obesity and surgical outcomes (with Dan Yang, Dylan S. Small and Jeffrey H. Silber). *Biometrics*, 2012, 68, 628-636.
- Optimal matching of an optimally chosen subset in observational studies. *Journal of Computational and Graphical Statistics*, 2012, 21, 57-71.
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- Medical and financial risks associated with surgery in the elderly obese (with Jeffrey H. Silber, Rachel R. Kelz, Caroline E. Reinke, Mark D. Neuman, Richard N. Ross, Orit Even-Shoshan, Guy David, Philip A. Saynisch, Fabienne A. Kyle, Dale W. Bratzler, Lee A. Fleisher). *Annals of Surgery*, 2012, 256, 79-86.

2013

- Effect of the 2010 Chilean earthquake on posttraumatic stress: Reducing sensitivity to unmeasured bias through study design (with Jose R. Zubizarreta and Magdalena Cerda), *Epidemiology*, 2013, 24, 79-87.
- Effect modification and design sensitivity in observational studies (with Jesse Hsu and Dylan Small). *Journal of the American Statistical Association*, 2013, 108, 135-148.
- Case definition and design sensitivity (with Dylan S. Small, Jing Cheng and M. Elizabeth Halloran). *Journal of the American Statistical Association*, 2013, 108, 1457-1468.
- Impact of multiple matched controls on design sensitivity in observational studies. *Biometrics*, 2013, 69, 118-127.
- Using the exterior match to compare two entwined matched control groups (with Jeffrey H. Silber). *The American Statistician*, 2013, 67, 67-75.
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- Auditing practice style variation in pediatric inpatient asthma care (with Jeffrey H. Silber, Wei Wang, Justin M. Ludwig, Shawna Calhoun, James P. Guevara, Joseph J. Zorc, Ashley Zeigler, Orit Even-Shoshan). *JAMA Pediatrics* 2016; 170: 878-886.
- Indirect standardization matching: Assessing specific advantage and risk synergy (with Silber, J. H., Wang, W., Ludwig, J. M., Fleisher, L. A., Niknam, B. A., Even-Shoshan, O., Hill, A. S., and Kelz, R. R.) *Health Services Research*, 2016; 51: 2330-2357.
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- Quantitative evaluation of the trade-off of strengthened instruments and sample size in observational studies (with Ashkan Ertefaie and Dylan S. Small). *Journal of the American Statistical Association*, to appear.
- Mortality rate estimation and standardization for public reporting: Medicare's Hospital Compare (with E.I. George, V. Rockova, V.A. Satopaa and J.H. Silber). *Journal of the American Statistical Association*, to appear.
- An adaptive Mantel-Haenszel test for sensitivity analysis in observational studies (with Dylan S. Small), *Biometrics*, to appear.
- Imposing minimax and quantile constraints on optimal matching in observational studies. *Journal of Computational and Graphical Statistics*, 2016, 26, 66-78.
- An exact test of fit for the Gaussian linear model using optimal nonbipartite matching. (with Samuel D. Pimentel and Dylan S. Small). *Technometrics*, to appear.
- Practice patterns in Medicaid and Non-Medicaid asthma admissions (with J. H. Silber, W. Wang, S. Calhoun, J. P. Guevara, J. J. Zorc, and Orit Even-Shoshan). *Pediatrics*, to appear.
- Racial disparities in Medicaid asthma hospitalizations (with Silber, J. H. , Calhoun, S. R., Reiter, J. G., Hill, A. S., Guevara, J. P., Zorc, J. J. and Even-Shoshan, O.) *Pediatrics*, to appear.
- Practice style variation in Medicaid and Non-Medicaid children with complex chronic conditions undergoing surgery (with Jeffrey H. Silber, Wei Wang, Shawna R. Calhoun, Joseph G. Reiter, Orit Even-Shoshan, and William J. Greeley). *Annals of Surgery*, on-line early.
- Comparing outcomes between antibody induction therapies in kidney transplantation (with Neel Koyawala, Jeffrey H Silber, Wei Wang, Alexander S Hill, Joseph G Reiter, Bijan A Niknam, Orit Even-Shoshan, Roy D Bloom, Deirdre Sawinski, Susanna Nazarian, Jennifer Trofe-Clark, Mary Ann Lim, Jesse D Schold, Peter P Reese). *Journal of the American Society of Nephrology*, to appear.
- Outcomes, ICU use, and length of stay in chronically ill black and white children on Medicaid and hospitalized for surgery (with Jeffrey H. Silber, Shawna R. Calhoun, Joseph G. Reiter, Alexander S. Hill, Orit Even-Shoshan, William J. Greeley) *Journal of the American College of Surgeons*, 2017;224:805–814.

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PRINCIPAL INVESTIGATOR ON GRANT

Principal Investigator, "Detecting and Assessing Bias in Observational Studies", 1987-1988, Measurement Methods and Data Improvement Program of the U.S. National Science Foundation, SES 87-01890.

Principal Investigator, "Observational Studies". 1998-2001. Measurement Methods and Data Improvement Program and Statistics and Probability Program of the U.S. National Science Foundation

Principal Investigator, "Observational Studies". 2001-2003. Measurement Methods and Data Improvement Program and Statistics and Probability Program of the U.S. National Science Foundation.

Principal Investigator, "Observational Studies". 2004-2007. Measurement Methods and Data Improvement Program and Statistics and Probability Program of the U.S. National Science Foundation.

Principal Investigator, "Observational Studies". 2007-2009. Measurement Methods and Data Improvement Program and Statistics and Probability Program of the U.S. National Science Foundation.

Principal Investigator, "Design and Interpretation of Observational Studies". 2009-2012. Measurement Methods and Data Improvement Program and Statistics and Probability Program of the U.S. National Science Foundation.

Co-Principal Investigator, "Design and Analysis of Observational Studies". 2013-2015. Measurement Methods and Data Improvement Program and Statistics and Probability Program of the U.S. National Science Foundation.

INVESTIGATOR ON GRANT

Investigator, "Failure Rate: A New Outcome Measure of Quality of Care". Principal Investigator: J. Silber, MD. From the U.S. Public Health Service, Agency for Health Care Policy and Research to the Leonard Davis Institute at the Wharton School.

Investigator, "Surgical outcome rates: Identifying etiologic factors". Principal Investigator: J. Silber, MD. From the U.S. Public Health Service, Agency for Health Care Policy and Research, 1/97-4/00, HS09460.

Investigator, "Conditional Length of Stay". Principal Investigator: J. Silber, MD. From the U.S. Public Health Service, Agency for Health Care Policy and Research, 09/01/99 – 02/28/03, 1-R01-HS09983-01.

Investigator, "Provider specialty and outcomes in ovarian cancer," Principal Investigator, J. Silber, MD. National Cancer Institute, 12/01/02 – 02/28/06, 20%, R01 CA95664-01.

Investigator, "Race, Treatment and Endometrial Cancer Survival," Principal Investigator, P. I. Armstrong. National Cancer Institute, 04/11/03 – 03/31/06, 10%, R01 CA 98901-02.

Investigator, "Impact of Resident Work Hour Rules on Errors and Quality," Principal Investigator, K. Volpp. NHLBI, 05/20/05 – 04/30/08, 5%, R01 HL082637.

Investigator, "Impact of Resident Work Hour Rules on Errors and Quality in VA Hospitals," Principal Investigator, K. Volpp. Department of Veterans Affairs, 06/01/05 – 05/31/08, 7.5%.

Investigator, "Aggregated Complication Measure for Neonatal Quality of Care," Principal Investigator, S. Lorch. Maternal Child and Health Bureau, 12/01/05 – 11/30/08, 5%, 1 R40 MC05474-01-00.

Investigator, "Obesity and Surgical Outcomes," Principal Investigator, J. Silber, NIDDK, 07/01/06 – 06/30/11, 1-R01-DK-073671.

Investigator, "Understanding Racial Disparities In Surgical Outcomes," Principal Investigator, J. Silber, National Institute of Aging. 2009.

Investigator, "Describing and understanding racial disparities," Agency for Healthcare Research and Quality, Principal Investigator, J. Silber, National Institute of Aging. 2009.

WORK FOR THE NATIONAL ACADEMY OF SCIENCES

Member, Committee on National Statistics of the Commission on Behavioral and Social Sciences and Education of the National Research Council of the National Academy of Sciences, 1996-1999.

Member of the Committee on Data and Research for Policy on Illegal Drugs, Commission on Behavioral And Social Sciences and Education, the National Research Council of the National Academy of Sciences, 1998-2000. The panel published two reports, *Assessment of Two Cost-Effectiveness Studies on Cocaine Control Policy*, National Academy Press, Washington, DC, 1999, and *Informing America's Policy on Illegal Drugs*, National Academy Press, Washington, DC, 2001.

Member of the "Panel to Review Evaluation Studies of Bilingual Education," Committee on National Statistics, U.S. National Academy of Sciences, 1991. The panel published a report, *Assessing Evaluation Studies: The Case of Bilingual Education*, National Academy Press, Washington, DC, 1992.

WORK FOR THE NATIONAL SCIENCE FOUNDATION

Advisory Board of the Methodology, Measurement and Statistics Program of the National Science Foundation, 1999-2001.

ASSOCIATE EDITOR

Associate Editor, *Biostatistics*, 1999-2001.

Associate Editor, *Journal of the American Statistical Association*, 2006-2010.

Associate Editor, *Statistical Science*, 2009-2010.